Using Entrust KeyControl as an External KMIP in Rubrik CDM

Benjamin Troch
October 2021
RWP-0595
## TABLE OF CONTENTS

3 INTRODUCTION
   3 Audience

3 INTRODUCTION TO ENTRUST KEYCONTROL KMS

4 KMIP AND CERTIFICATE REQUIREMENTS
   4 Prerequisites

5 SETTING UP THE ENTRUST KEYCONTROL SOLUTION
   5 Configuration of Entrust KeyControl

8 RUBRIK CONFIGURATION
   8 Adding the Entrust KMIP server to the Rubrik Cluster
   11 Key rotation
   14 Removing the Entrust KMIP server from the Rubrik Cluster

18 CONCLUSION

18 SOURCES AND NOTES

18 VERSION HISTORY
INTRODUCTION

The purpose of this document is to help readers familiarize themselves with the methods to configure and integrate the Entrust KeyControl encryption Key Management Server (KMS) with Rubrik CDM. Such information will prove valuable when evaluating, designing, or implementing the technologies described herein.

AUDIENCE

The intended audience of this document includes Rubrik and Entrust KeyControl Sales Engineers, Field and Technical Support Engineers, and customer architects and engineers who want to learn and understand how to implement the Entrust KeyControl KMIP application into their Rubrik CDM data management solution.

INTRODUCTION TO ENTRUST KEYCONTROL KMS

Encrypting workloads helps reduce the risk of data breaches. However, managing the keys for multiple encrypted workloads is nontrivial. To ensure strong data security, encryption keys must be rotated frequently, transported and stored securely. Along with the high demand for strong data security, there is an ever-increasing business need to meet regulatory requirements for Payment Card Industry Data Security Standard (PCI DSS), Health Insurance Portability and Accountability Act (HIPAA), National Institute of Standards and Technology (NIST) 800-53, and GDPR compliance in virtual environments.

With Entrust KeyControl, businesses can easily manage encryption keys at scale. Using Federal Information Processing Standards (FIPS) 140-2 compliant encryption, KeyControl simplifies management of encrypted workloads by automating and simplifying the lifecycle of encryption keys including key creation, storage, distribution, rotation, and key revocation. KeyControl provides a repository for keys and key management services to be done manually or via rule-based key rotation.

For environments where hardware-level protection is required, KeyControl integrates with the Entrust nShield general purpose HSM to provide a hardware root-of-trust. The integration with nShield ensures the keys are accessible only to trusted devices and administrators.

Figure 1 - Entrust Key Control High-Level Architecture
**KMIP AND CERTIFICATE REQUIREMENTS**

The Key Management Interoperability Protocol (KMIP) enables the communication between the Rubrik cluster and the Entrust KeyControl KMIP Server. KMIP uses Transport Layer Security (TLS) to provide a secure communication channel. Entrust KeyControl uses this channel to securely authenticate a KMIP client. X.509 certificates are used to facilitate authentication and authorization between Entrust KeyControl and the Rubrik Cluster. These certificates must be created on Entrust KeyControl and installed on Rubrik CDM. Entrust KeyControl includes a server certificate signed by the internal Certificate Authority (CA). Alternatively, a client certificate for the Rubrik cluster can be created using tools such as OpenSSL. The certificate may be signed externally or can be self-signed.

Once configured, Rubrik CDM will request a Key Encryption Key (KEK) from KeyControl for the Rubrik cluster. These KEKs securely wrap (encrypt/decrypt) the Data Encryption Keys (DEKs) created and stored locally in Rubrik CDM. The DEKs are used to encrypt and decrypt the data in the cluster. Rubrik CDM reaches out to KeyControl to retrieve the KEKs after a reboot. If KeyControl is unavailable, the data in the Rubrik cluster will remain locked and will be inaccessible.

**PREREQUISITES**

*Table 1* indicates the versions of the products tested in this integration guide.

<table>
<thead>
<tr>
<th>Rubrik CDM</th>
<th>Entrust KeyControl</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.3.0 or later</td>
<td>5.4 or later</td>
</tr>
</tbody>
</table>

*Table 1 - Rubrik and Entrust KeyControl version requirements*

Rubrik CDM version 5.3.0 or later is installed and operational

- The Rubrik CDM cluster must be configured to use encryption
  - Encryption can only be enabled at the cluster level during the bootstrap process.

- Entrust KeyControl version 5.4 or later is installed and operational
  - The Entrust KeyControl KMS is contactable by the Rubrik cluster on port 5696 or a custom KMIP port

The following key points should be understood on the Entrust KeyControl and Rubrik CDM integration:

- Once encryption is enabled at the cluster level in Rubrik CDM, it cannot then be disabled in the future.
- Rubrik CDM supports only one (1) external KMS at a time.
- Once a TLS connection with the Entrust KeyControl has been established, Rubrik CDM maintains that connection unless services are restarted or stopped. This results in a persistent TLS connection.
SETTING UP THE ENTRUST KEYCONTROL SOLUTION

After downloading, deploying and configuring the Entrust KeyControl OVA, the Entrust KeyControl module will be reachable through an HTTPS web interface on the configured IP address.

IMPORTANT: The following information is provided as an example and is current as of version 5.4 of Entrust KeyControl and Rubrik CDM 5.3. Always consult the Entrust and Rubrik product documentation for the latest procedure on setting up Entrust KeyControl with Rubrik CDM.

CONFIGURATION OF ENTRUST KEYCONTROL

Following steps are required to get the Entrust KeyControl KMIP server up and running in a basic configuration:

1. Log in with the user and password combination configured during deployment of the OVA. The Entrust KeyControl main management page is displayed.
2. Navigate to the KMIP section of the Entrust KeyControl management interface as displayed in Figure 2.
3. On the KMIP tab, the status of the KMIP server is displayed.
4. Select Enabled from the State drop-down menu
5. Select minimum Version 1.2 from the Protocol drop-down menu.
6. Change the port number and the TLS protocol minimum version if required. Figure 3 shows an example configuration.
Begin by creating a client certificate. This client certificate is used by the Rubrik device to securely authenticate the Entrust KeyControl server. On the KMIP tab, click the **Client Certificates** tab as seen in Figure 4.

![Figure 4 - Client certificate tab](image)

On the **Client Certificates** tab, a new certificate must be created with parameters specific to the environmental and security policies with your organization.

To create a Client Certificate, click the **Actions** tab on the top right and select **Create Certificate**. Figure 5 shows the options available on this tab.

![Figure 5 - Options](image)
For the Rubrik use case, it is enough to fill out the certificate name and an expiration date for the certificate. The password fields must be left blank. After filling out the form, click the Create button at the right-hand side of the pane.

Note: If your organization does not allow the use of self-signed certificates or uses a certificate authority, use this dialogue to start the certificate signing request process.

Back in the Client Certificates pane the newly created certificate is shown. When it is selected, the details that were just entered are visible as shown in Figure 6.

Figure 5 – New Client Certificate Details

Figure 6 – Client Certificate details
Download the certificate to import it into the Rubrik appliance. Click the blue Actions button again and select Download Certificate. A zip file with the name of the certificate will be downloaded to your workstation. Save this in a secure, known location, as we will need this later in the process. The client certificate package contains two certificates:

- cacert.pem
- NameOfYourCertificate.pem

RUBRIK CONFIGURATION

The internal key manager in the Rubrik appliance uses a Trusted Platform Module (TPM) chip embedded on the Rubrik appliance to manage encryption keys, whereas the external key manager like Entrust KeyControl is a system that uses an independent server to manage the encryption keys.

ADDING THE ENTRUST KMIP SERVER TO THE RUBRIK CLUSTER

During the installation of the Rubrik cluster, enable encryption by answering “Yes” during the bootstrap process.

IMPORTANT: Enabling encryption must be done during bootstrap. Encryption cannot be enabled after the bootstrap process.

Make sure the Entrust certificates that were downloaded in the previous step are available.

The Rubrik user guide explains the process of configuring the KMIP server and key rotation. From the Rubrik GUI perform following steps:

First, import the client certificate that was created during the setup of the Entrust KeyControl KMIP server. Do this by going to Certificate Management in the Rubrik GUI

1. Click the gear icon on the right top side of the Rubrik GUI
2. Select System Configuration
3. Select Certificate Management
4. Copy the certificate from the cacert.pem and create a new certificate with it in the Certificate Management page on Rubrik as shown in Figure 7.
1. Give the certificate a meaningful name and description
2. For the Key Type, select **None**
3. Click **Add** to add the certificate to the Rubrik cluster

Once the certificate is added, it is used to securely communicate with the Entrust KMIP server. This connection can now be established:

Navigate to the **Manage Encryption** page in the Rubrik GUI:

1. **Gear right top side**
2. **System Configuration**
3. **Manage Encryption**
4. Here there are two tabs – **Key Rotation Status** and **KMIP Settings**.
5. Go to **KMIP Settings** to add the Entrust KMIP server IP
6. Press **Add KMIP Server**, as shown in **Figure 8** below
After adding the KMIP server to the Rubrik cluster, the client settings can be configured with a set of options for client authentication:

- Password only
- Client certificate only
- Both

Type the username and password required by the key manager. If a username and password are not required, leave these blank.

If option 2 or 3 are selected, specify the TLS certificate that was defined in the previous step when the KMIP server was added to the Rubrik cluster.

For this setup, configure the Rubrik cluster to automatically log into the KMIP server with the client certificate that was downloaded from Entrust KeyControl.
KEY ROTATION

After the KMIP server is successfully added to the Rubrik cluster, it can now safely rotate keys with Entrust KeyControl. To do this complete following steps:

1. In the GUI go to the gear icon and select Manage Encryption
2. Go to the Key Rotation Status tab
3. Click Rotate Keys on the top right side of the screen.
4. Click Continue on the One-Time Key Rotation screen.
5. Select **External Key Manager (KMIP-compliant)** to use the configured Entrust KeyControl server.

6. Go to the Rubrik CDM activity log to monitor the change to the external Entrust KMIP server after the initial key rotation as seen in *Figure 12*.

---

**Figure 11 – One-Time key rotation**

**One-Time Key Rotation**

Please choose a key manager to perform the one-time rotation.

- Internal Key Manager (Rubrik TPM)
- External Key Manager (KMIP-compliant)

---

**Figure 12 – Switch to external KMIP server successful**

- Successfully rotated data encryption keys protected by KMIP key rubrik_74faa40e-239b-478b-8055-39826e244ef5_1634913552607 on KMIP cluster List(172.22.9.65).
- Just Now

- admin started a job to rotate data encryption keys protected by KMIP.
  - 10/22 2:39 pm

- Successfully configured KMIP on all nodes.
  - 10/22 2:38 pm
On the Entrust console, the initial key rotation can also be monitored for success. An example is shown in Figure 13: A KMIP request from Rubrik to Entrust KeyControl to Create Symmetric Key and a response is shown with a valid key.

Alternatively, on Rubrik the REST API can also be used to query for token rotation logs and to initiate a token rotation. The Rubrik REST API endpoint used for this is the following:

**GET /internal/cluster/me/security/key_rotation**

When looking at the output of the rotation log query, the successful log rotations can be seen:

```
{
    "rotationId": "SOFTWARE_KEY_ROTATION_24bc86ea-94c7-417a-bb2a-6d472c35f57b_2b4d837e-bcc8-45ad-b817-8e0248c250f3",
    "nodeId": "VRVW423EBFF24",
    "status": "success",
    "keyProtection": "kmip",
    "keyRecovery": true,
    "startTime": "2021-10-07T09:49:39.362Z",
    "endTime": "2021-10-07T09:51:38.394Z"
}
```

When posting to the REST API, a KMIP key rotation can be initiated. This rotation will return the following REST body message after a successful rotation. The Rubrik REST API endpoint we use for this action is the following:

**POST /internal/cluster/me/security/key_rotation**

The payload of the API POST must contain the following JSON:

```
{
    "keyProtection": "kmip",
    "keyRecovery": true
}
```
Example REST response body

```
Download
{
    "id": "SOFTWARE_KEY_ROTATION_29f4ef61-354c-4c49-b49e-1282528e4027_99f0f298-27f9-42df-aeed-f5cee78367c5:::0",
    "status": "QUEUED",
    "progress": 0,
    "startTime": "2021-10-19T11:58:18.067Z",
    "links": [
        {
            "href": "https://10.1.1.1/api/internal//cluster/me/security/request?request_id=SOFTWARE_KEY_ROTATION_29f4ef61-354c-4c49-b49e-1282528e4027_99f0f298-27f9-42df-aeed-f5cee78367c5:::0",
            "rel": "self"
        }
    ]
}
```

**IMPORTANT** Rubrik REST API endpoints may change as Rubrik software evolves, always check the latest Rubrik REST API documentation for your release.

This way, KMIP key rotations between Entrust and Rubrik can be automated using common configuration management tools or scripting languages to keep the data on the Rubrik cluster securely encrypted at any given time and in line with internal security requirements and policies.

**REMOVING THE ENTRUST KMIP SERVER FROM THE RUBRIK CLUSTER**

When removing the KMIP server from a platform that has an internal hardware Key Manager or Trusted Platform Module (TPM) there are a certain number of steps to be followed. When bootstrapping the Rubrik cluster and the option to encrypt the data is selected Rubrik CDM will automatically use the internal TPM after bootstrap. The procedure to enable an external KMIP server is the same as described in this document. If the external KMIP server needs to be removed and we want to fall back to the internal TPM key rotation following steps need to be completed.

1. In the GUI go to the clog wheel and select **Manage Encryption**
2. Go to the **Key Rotation Status** tab
3. Click **Rotate Keys** on the top right side of the screen This will trigger a final key rotation on the external KMIP server.
4. Select **External Key Manager** as seen in **Figure 14**.
One-Time Key Rotation

Please choose a key manager to perform the one-time rotation.

- Internal Key Manager (Rubrik TPM)
- External Key Manager (KMIP-compliant)

Cancel  Continue

Figure 14 – One-Time key rotation

5. Wait until the key rotation is completed for all nodes as seen in Figure 15.

Figure 15 – Key rotation successful

<table>
<thead>
<tr>
<th>Node ID</th>
<th>Key Manager</th>
<th>Start Time</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>RKLAB-RVH355000993</td>
<td>External (KMIP)</td>
<td>11:09:12:14 pm</td>
<td>Succeeded</td>
</tr>
<tr>
<td>RKLAB-RVH355007037</td>
<td>External (KMIP)</td>
<td>11:09:12:14 pm</td>
<td>Succeeded</td>
</tr>
<tr>
<td>RKLAB-RVH355007071</td>
<td>External (KMIP)</td>
<td>11:09:12:14 pm</td>
<td>Succeeded</td>
</tr>
<tr>
<td>RKLAB-RVH355007115</td>
<td>External (KMIP)</td>
<td>11:09:12:14 pm</td>
<td>Succeeded</td>
</tr>
</tbody>
</table>

Figure 16 – Select internal TPM

6. Perform another key rotation and select Internal Key Manager (Rubrik TPM) as seen in Figure 16.

7. On the Key Rotation Status page, we can now see that the Key Manager is set back to Internal (TPM) as seen in Figure 17.
8. Once the Rubrik CDM cluster is successfully returned to rotate encryption keys on the internal hardware TPM module the external KMIP server can be removed by going to KMIP settings and removing the server by clicking the 3 dots next to the server and selecting "Remove External KMIP server".

When removing the KMIP server from a platform that has no internal hardware Key Manager or Trusted Platform Module (TPM) follow the following steps to roll back to a static encryption password. The KMIP server can be removed by first initiating a key rotation and reverting to static encryption password as seen in Figure 18.

---

**Figure 17 – Monitor Key Manager status**

---

**Figure 18 – Key rotation on servers without TPM module**
1. Change the encryption method to Password and enter a new encryption password
2. Click Continue
3. After the key rotation is finished, the GUI will show Password instead of External (KMIP) as soon in Figure 19.

![Figure 19 - Key rotation logs](image)

**IMPORTANT:** When changing a Rubrik device back to using an encryption password the disks need to be manually decrypted after a reboot. In order to do this, log in to the admin CLI through either the console or SSH and run the following command:

```
VRVW423EBFF24 >> cluster provide_encryption_password
```

After this is done, it takes about 5 to 10 minutes for the cluster to use the provided encryption password to decrypt the data disks and start the Rubrik web GUI. This can be monitored running the following command:

```
VRVW423EBFF24 >> cluster service_status
```

**IMPORTANT:** Rubrik CLI commands change over time as cluster upgrades happen, so always check the latest user guide to verify the correct command.
CONCLUSION
Using the joint solution from Entrust and Rubrik superior data encryption is enabled with Rubrik clusters. With this solution, all your data is safely and immutably stored with on-demand or fully automated key rotation over a secure connection. This is how Rubrik and Entrust work together to protect and encrypt your data in the most secure possible manner.

SOURCES AND NOTES
Rubrik REST API documentation on managing KMIP servers
https://rubrikinc.github.io/api-doc-v1-6.0/#section/Passwords/Managing-KMIP-Servers

Rubrik REST API documentation on certificate lifecycle management

Rubrik Support containing latest release notes and user guides
https://support.rubrik.com

VERSION HISTORY

<table>
<thead>
<tr>
<th>Version</th>
<th>Date</th>
<th>Summary of Changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0</td>
<td>November 2021</td>
<td>Initial Release</td>
</tr>
</tbody>
</table>